

APRIL 24, 2002

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STROOCK & STROOCK & LAVAN LLP
JAMES J. DECARLO
180 MAIDEN LANE
NEW YORK, NY 10038



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UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

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RECORDATION DATE: 02/20/2002

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BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

ASPE, HENRIK

DOC DATE: 01/28/2002

ASSIGNOR:

GATES, GEOFFREY S.

DOC DATE: 01/18/2002

ASSIGNEE:

NOVO NORDISK A/S
NOVO ALLE BLDG.
DK-2880, BAGSVAERD, DENMARK

ASSIGNEE:

MAYO FOUNDATION FOR MEDICAL
EDUCATION AND RESEARCH
200 FIRST STREET, SW
ROCHESTER, MINNESOTA 55905

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SERIAL NUMBER: 09877492

FILING DATE: 06/08/2001

PATENT NUMBER:

ISSUE DATE:

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TARA WASHINGTON, EXAMINER
ASSIGNMENT DIVISION
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OMB No. 0651-0027 (exp. 5/31/2002)

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1. Name of conveying party(ies):

Henrik Aspe & Geoffrey S. Gates

2-20-02

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: 1/28/2002 & 1/18/2002, respectively

2. Name and address of receiving party(ies)

Name: Novo Nordisk A/S

Internal Address:

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TECHNOLOGY CENTER R3700

Street Address: Novo Alle Bldg., DK-2880

City: Bagsvaerd State: Denmark Zip:

Additional name(s) & address(es) attached? ☒ Yes ☐ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/877,492

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: James J. DeCarlo

Internal Address: Stroock & Stroock & Lavan LLP

Street Address: 180 Maiden Lane

City: New York State: NY Zip: 10038

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40.00

- ☐ Enclosed
☒ Authorized to be charged to deposit

8. Deposit account number:

19-4709

(Attach duplicate copy of this page if paying by deposit)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached is a true copy of the original document.

JAMES J. DeCarlo

Name of Person Signing

Signature

February 1, 2002

Date

Total number of pages including cover sheet, attachments, and documents: 5

Mail documents to be recorded with required cover sheet information to:

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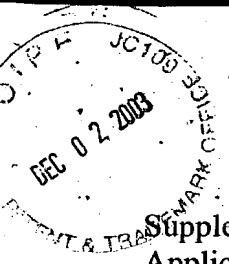
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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on 3/1/02 (Date of Deposit)

DAVID L. SCHAEFFER

Name of Attorney For Applicant

Signature Date of Signature



Supplemental Sheet to Box 2 (PTO-1595)
Application No. 09/877,492

Assignee: Mayo Foundation for Medical Education and Research
Address: 200 First Street, SW
Rochester, Minnesota 55905
U.S.A.

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ASSIGNMENT

WHEREAS, WE, Henrik Aspe, a citizen of Denmark, residing at Jaegervænget 14, Nodebo, 3480, Fredensborg, Denmark, and Geoffrey S. Gates, a citizen of the United States of America, residing at 9149 Arundel Way, Jacksonville, Florida, 32257, the United States of America, hereinafter referred to as ASSIGNORS, have invented certain new and useful improvements in ADAPTIVE INTERACTIVE PRECEPTORED TEACHING SYSTEM, for which we have filed Application No. 09/877,492 for Letters Patents of the United States on June 8, 2001;

WHEREAS, Novo Nordisk A/S, a corporation organized under the laws of Denmark, located at Novo Alle, DK-2880 Bagsvaerd, Denmark, and Mayo Foundation for Medical Education and Research, a charitable corporation with a principal place of business at 200 First Street, SW, Rochester, Minnesota 55905, U.S.A., hereinafter referred to as ASSIGNEES, are desirous of obtaining as joint owners the entire right, title and interest in, to and under the improvements and the application;

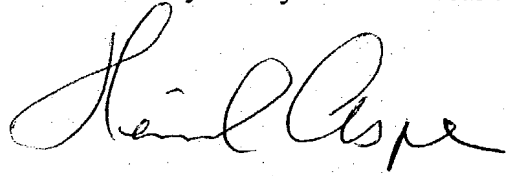
NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the ASSIGNORS, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the ASSIGNEES as joint owners, their respective successors, legal representatives and assigns, the entire right, title and interest in, to and under the improvements, and the application and all divisions, renewals, continuations and continuations-in-part thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all applications for Letters Patent which may hereafter be filed for the improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for the improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and we hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for the improvements to the ASSIGNEES, their successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that we will communicate to the ASSIGNEES, their successors, legal representatives and assigns, any facts known to us respecting the improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the ASSIGNEES, their successors, legal representatives and assigns, to obtain and enforce proper patent protection for the improvements in all countries.

IN TESTIMONY WHEREOF, I have this day set my hand and seal to this
Assignment.

28. January, 2002



Henrik Aspe

_____, 2002

Geoffrey S. Gates

ASSIGNMENT

WHEREAS, WE, Henrik Aspe, a citizen of Denmark, residing at Jaegervænget 14, Nodebo, 3480, Fredensborg, Denmark, and Geoffrey S. Gates, a citizen of the United States of America, residing at 9149 Arundel Way, Jacksonville, Florida, 32257, the United States of America, hereinafter referred to as ASSIGNORS, have invented certain new and useful improvements in ADAPTIVE INTERACTIVE PRECEPTORED TEACHING SYSTEM, for which we have filed Application No. 09/877,492 for Letters Patents of the United States on June 8, 2001;

WHEREAS, Novo Nordisk A/S, a corporation organized under the laws of Denmark, located at Novo Alle, DK-2880 Bagsvaerd, Denmark, and Mayo Foundation for Medical Education and Research, a charitable corporation with a principal place of business at 200 First Street, SW, Rochester, Minnesota 55905, U.S.A., hereinafter referred to as ASSIGNEES, are desirous of obtaining as joint owners the entire right, title and interest in, to and under the improvements and the application;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the ASSIGNORS, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the ASSIGNEES as joint owners, their respective successors, legal representatives and assigns, the entire right, title and interest in, to and under the improvements, and the application and all divisions, renewals, continuations and continuations-in-part thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all applications for Letters Patent which may hereafter be filed for the improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for the improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and we hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for the improvements to the ASSIGNEES, their successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that we will communicate to the ASSIGNEES, their successors, legal representatives and assigns, any facts known to us respecting the improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the ASSIGNEES, their successors, legal representatives and assigns, to obtain and enforce proper patent protection for the improvements in all countries.

IN TESTIMONY WHEREOF, I have this day set my hand and seal to this
Assignment.

_____, 2002

Henrik Aspe

18 January, 2002

Geoffrey S. Gates
Geoffrey S. Gates